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PATENT

Attorney Docket No. (0320-0015) HOOV 115

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,346

Filed: December 12, 2001

Group Art No.: 3739

Examiner: Rosiland S. Rollins

For: TRANSMURAL ABLATION DEVICE

WITH CURVED JAWS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

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Date of Deposit June 30, 2004

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NAME \_\_\_\_\_ Renée Barthel

## ELEVENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to the document listed on the attached form, which the document may be material to the examination of this application. A copy of the document is enclose herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Supplemental Information Disclosure Statement is being mailed after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The cited information was first called to Applicant's attention in an Office Action dated June 16, 2004 in related Application Number 10/015,862 filed on December 12, 2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. §

1.97(c), a fee under §1.17(p) is <u>not</u> required for the filling of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required.

(A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: <u>June 30, 2004</u>

By:

Gary W. (McFarron, Esq. Registration No. 27,357

Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850 Chicago, IL 60606 Telephone: (312) 236-8500

Attorneys for Applicant

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	10/015,346			
Filing Date	December 12, 2001			
First Named Inventor	Michael D. Hooven			
Group Art Unit	3739			
Examiner Name	Rosiland S. Rollins	-		
Attorney Docket Number	(HOOV 115) 0320-0015			

Total Number of Pages in This Subm	ission Attorney Docket Number	(HOOV 115) 0320-0015			
	ENCLOSURES (check	all that apply)			
Fee Transmittal Form Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)	Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Second  Status Letter			
Extension of Time Request  Express Abandonment Request  X Information Disclosure Statement  Certified Copy of Priority	Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	Other Enclosure(s) (please identify below):  - 1 Patent Publication - Certificate of Mailing - Return Receipt Poscard - PTO/SB/08A (1 sheet)			
Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	By U.S. Postal Servi Label No. EV 45945	51655 US			
	IRE OF APPLICANT, ATTORNEY, OR	AGENT			
Firm or Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Individual name Gary W. McFarron, Esq. (Reg. No. 27,357)					
Signature May W. McFarron					
Date June 30, 2004					
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Typed or printed name	Renee Barthel				
Signature	Blue Bath	Date June 30, 2004			

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Attorney Docket No. 0320-0015 (HOOV 115)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )	
Michael D. Hooven )	CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label No.: EV 459451655 US  Date of Deposit June 30, 2004
Serial No.: 10/015,346 )	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated
Filed: December 12, 2001 )	above and is addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA
Group Art No.: 3739 )	NAME Renée Barthel
Examiner: Rosiland S. Rollins )	Rose-Barth
For: TRANSMURAL ABLATION DEVICE ) WITH CURVED JAWS )	

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- 2. Eleventh Supplemental Information Disclosure Statement (in duplicate - 3 sheets);
- 3. PTO/SB/08A (1 sheet);
- 4. Certificate of Mailing (1 sheet);
- 5. U.S. Patent Publication (1); and
- 6. Return Receipt Postcard.

Name:	Renée Barthel
	2-R. H.
Signature:	Mai Buth

PTO/SB/08A (04-03)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/015,346		
Filing Date	December 12, 2001		
First Named Inventor	Michael D. Hooven		
Art Unit	3739		
Examiner Name	Rosiland S. Rollins	·	
Attorney Docket Number	0320-0015 (HOOV 115)		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 6,679,882	01-20-2004	Kornerup	
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FOREIGN PATENT DOCUMENTS						
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Examiner	Date	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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